

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 1 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
___A1	287,046	10/23/1883	Norton
___A2	1,088,393	02/24/1914	Backus
___A3	1,331,401	02/17/1920	Summers
___A4	2,087,074	07/13/1937	Tucker
___A5	2,453,227	11/09/1948	James
___A6	2,684,070	07/20/1954	Kelsey
___A7	2,944,311	07/12/1960	Schneckenberger
___A8	2,969,887	01/31/1961	Darmstadt et al.
___A9	3,015,403	01/02/1962	Fuller
___A10	3,142,878	08/04/1967	Santora
___A11	3,482,428	12/09/1969	Kapitanov et al.
___A12	3,586,002	06/22/1971	Wood

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 2 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

---

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A13	3,604,425	09/14/1971	Le Roy
____A14	3,677,243	09/24/1971	Nerz
____A15	3,757,629	09/11/1973	Schneider
____A16	3,805,337	04/23/1974	Branstetter
____A17	3,944,114	03/16/1976	Coppens
____A18	4,014,492	03/29/1977	Rothfuss
____A19	4,192,315	03/11/1980	Hilzinger et al.
____A20	4,207,870	06/17/1980	Eldridge
____A21	4,217,902	08/19/1980	March
____A22	4,278,091	07/14/1981	Borzone
____A23	4,318,401	03/09/1982	Zimmerman
____A24	4,345,606	08/24/1982	Littleford
____A25	4,396,139	08/02/1983	Hall et al.
____A26	4,411,654	10/25/1983	Boarini et al.

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 3 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

---

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A27	4,412,832	11/01/1983	Kling et al.
____A28	4,428,376	01/31/1984	Mericle
____A29	4,485,816	12/04/1984	Krumme
____A30	4,505,273	03/19/1985	Braun et al.
____A31	4,505,274	03/19/1985	Speelman
____A32	4,523,695	06/18/1985	Braun et al.
____A33	4,525,157	06/25/1985	Valaincourt
____A34	4,586,503	05/06/1986	Kirsch et al.
____A35	4,607,638	08/26/1986	Crainich
____A36	4,610,251	09/09/1986	Kumar
____A37	4,610,252	09/09/1986	Catalano
____A38	4,665,906	05/19/1987	Jervis
____A39	4,687,469	08/18/1987	Osypka
____A40	4,724,840	02/16/1988	McVay et al

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 4 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

---

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A41	4,738,658	04/19/1988	Magro et al.
____A42	4,744,364	05/17/1988	Kensey
____A43	4,771,782	09/20/1988	Millar
____A44	4,772,266	09/20/1988	Groshong
____A45	4,777,950	10/18/1988	Kees, Jr.
____A46	4,789,090	12/06/1988	Blake, III
____A47	4,832,688	05/23/1989	Sagae et al.
____A48	4,836,204	06/06/1989	Landymore et al.
____A49	4,852,568	08/01/1989	Kensey
____A50	4,860,746	08/29/1989	Yoon
____A51	4,865,026	09/12/1989	Barrett
____A52	4,874,122	10/17/1989	Froelich et al.
____A53	4,878,915	11/07/1989	Brantigan
____A54	4,887,601	12/19/1989	Richards

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 5 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

---

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A55	4,917,087	04/17/1990	Walsh et al.
____A56	4,934,364	06/19/1990	Green
____A57	4,950,258	08/21/1990	Kawai et al.
____A58	5,007,921	04/16/1991	Brown
____A59	5,015,247	05/14/1991	Michelson
____A60	5,021,059	06/04/1991	Kensey et al.
____A61	5,026,390	06/25/1991	Brown
____A62	5,053,008	10/01/1991	Bajaj
____A63	5,061,274	10/29/1991	Kensey
____A64	5,100,418	03/31/1992	Yoon et al.
____A65	5,108,420	04/28/1992	Marks
____A66	5,108,421	04/28/1992	Fowler
____A67	5,114,032	05/19/1992	Laidlaw
____A68	5,114,065	05/19/1992	Storace

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 6 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A69	5,131,379	07/21/1992	Sewell, Jr.
____A70	5,147,381	09/15/1992	Heimerl et al.
____A71	5,156,609	10/20/1992	Nakao et al.
____A72	5,167,643	12/01/1992	Lynn
____A73	5,171,249	12/15/1992	Stefanchik et al.
____A74	5,176,648	01/05/1993	Holmes et al.
____A75	5,192,300	03/09/1993	Fowler
____A76	5,192,301	03/09/1993	Kamiya et al.
____A77	5,192,302	03/09/1993	Kensey et al.
____A78	5,209,756	05/11/1993	Seedhorm et al.
____A79	5,222,974	06/29/1993	Kensey et al.
____A80	5,246,443	09/21/1993	Mai
____A81	5,275,616	01/04/1994	Fowler
____A82	5,290,243	03/01/1994	Chodorow et al.

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 7 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A83	5,290,310	03/01/1994	Makower et al.
____A84	5,292,309	03/08/1994	Van Tassel et al.
____A85	5,292,332	03/08/1994	Lee
____A86	5,304,184	04/19/1994	Hathaway et al.
____A87	5,306,254	04/26/1994	Nash et al.
____A88	5,318,542	06/07/1994	Hirsch et al.
____A89	5,320,639	06/14/1994	Rudnick
____A90	5,334,216	08/02/1994	Vidal et al.
____A91	5,334,217	08/02/1994	Das
____A92	5,350,399	09/27/1994	Erlebacher et al.
____A93	5,352,229	10/04/1994	Goble et al.
____A94	5,366,458	11/22/1994	Korthoff et al.
____A95	5,366,479	11/22/1994	McGarry et al.
____A96	5,395,030	03/07/1995	Kuramoto et al.

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 8 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

---

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A97	5,411,520	05/02/1995	Nash al.
____A98	5,413,571	05/09/1995	Katsaros et al.
____A99	5,416,584	05/16/1995	Kay
____A100	5,417,699	05/23/1995	Klein et al.
____A101	5,431,639	07/11/1995	Shaw
____A102	5,443,481	08/22/1995	Lee
____A103	5,456,400	10/10/1995	Shichman et al.
____A104	5,470,010	11/28/1995	Rothfuss et al.
____A105	5,474,557	12/12/1995	Mai
____A106	5,478,352	12/26/1995	Fowler
____A107	5,478,354	12/26/1995	Tovey et al.
____A108	5,478,353	07/18/1994	Yoon et al.
____A109	5,486,195	01/23/1996	Myers et al.
____A110	5,507,755	04/16/1996	Gresl et al.

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 9 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

---

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A111	5,522,840	06/04/1996	Krajicek
____A112	5,527,322	06/18/1996	Klein et al.
____A113	5,536,251	07/16/1996	Evard et al.
____A114	5,544,802	08/13/1996	Crainich
____A115	5,560,532	10/01/1996	DeFonzo et al.
____A116	5,591,205	01/07/1997	Fowler
____A117	5,601,602	02/11/1997	Fowler
____A118	5,620,461	04/15/1997	Muijs et al.
____A119	5,643,318	07/01/1997	Tsukernik et al.
____A120	5,645,565	07/08/1997	Rudd et al.
____A121	5,645,566	07/08/1997	Brenneman et al.
____A122	5,645,567	07/08/1997	Crainich
____A123	5,674,231	10/07/1997	Green et al.
____A124	5,676,689	10/14/1997	Kensey et al.

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 10 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

---

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A125	5,683,405	11/04/1997	Yacoubian et al.
____A126	5,690,674	11/25/1997	Diaz
____A127	5,695,504	12/09/1997	Gifford, III et al.
____A128	5,695,505	12/09/1997	Yoon
____A129	5,716,375	02/10/1998	Fowler
____A130	5,725,554	03/10/1998	Simon et al.
____A131	5,728,114	03/17/1998	Evans et al.
____A132	5,728,122	03/17/1998	Leschinsky et al.
____A133	5,732,872	03/31/1998	Bolduc et al.
____A134	5,782,844	07/21/1998	Yoon et al.
____A135	5,782,860	07/21/1998	Epstein et al.
____A136	5,782,861	07/21/1998	Cragg et al.
____A137	5,810,846	09/22/1998	Virnich et al.
____A138	5,810,851	09/22/1998	Yoon

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 11 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

---

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A139	5,817,113	10/06/1998	Gifford, III et al.
____A140	5,820,631	10/13/1998	Nobles
____A141	5,830,125	11/03/1998	Scribner et al.
____A142	5,855,312	01/05/1999	Toledano
____A143	5,861,005	01/19/1999	Kontos
____A144	5,871,474	02/16/1999	Hermann et al.
____A145	5,871,501	02/16/1999	Leschinsky et al.
____A146	5,871,525	02/16/1999	Edwards et al.
____A147	5,879,366	03/09/1999	Shaw et al.
____A148	5,902,310	05/11/1999	Foerster et al.
____A149	5,910,155	06/08/1999	Ratcliff et al.
____A150	5,922,009	07/13/1999	Epstein et al.
____A151	5,935,147	08/10/1999	Kensey et al.
____A152	5,941,890	08/24/1999	Voegele et al.

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 12 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A153	5,951,518	09/14/1999	Licata et al.
____A154	5,951,589	09/14/1999	Epstein et al.
____A155	5,964,782	10/12/1999	Lafontaine et al.
____A156	5,984,934	11/16/1999	Ashby et al.
____A157	5,984,949	11/16/1999	Levin
____A158	6,004,341	12/21/1999	Zhu et al.
____A159	6,007,563	12/28/1999	Nash et al.
____A160	6,022,372	02/08/2000	Kontos
____A161	6,036,720	03/14/2000	Abrams et al.
____A162	6,045,570	04/04/2000	Epstein et al.
____A163	6,048,358	04/11/2000	Barak
____A164	6,056,768	05/02/2000	Cates et al.
____A165	6,056,769	05/02/2000	Epstein et al.
____A166	6,056,770	05/02/2000	Epstein et al.

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 13 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

---

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A167	6,063,085	05/16/2000	Tay et al.
____A168	6,077,281	06/20/2000	Das
____A169	6,077,291	06/20/2000	Das
____A170	6,080,182	06/27/2000	Shaw et al.
____A171	6,080,183	06/27/2000	Tsugita et al.
____A172	6,090,130	07/18/2000	Nash et al.
____A173	6,110,184	08/29/2000	Weadock
____A174	6,113,612	09/05/2000	Swanson et al.
____A175	6,117,148	09/12/2000	Ravo
____A176	6,120,524	09/19/2000	Taheri
____A177	6,149,660	11/21/2000	Laufer et al.
____A178	6,152,937	11/28/2000	Peterson et al.
____A179	6,197,042	03/06/2001	Ginn et al.
____A180	6,277,140	08/21/2001	Ginn et al.

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 14 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

---

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A181	6,287,322	09/11/2001	Zhu et al.
____A182	6,305,891	10/23/2001	Burlingame
____A183	6,322,580	11/27/2001	Kanner
____A184	6,334,865	01/01/2002	Redmond et al.
____A185	6,348,064	02/19/2002	Kanner
____A186	6,391,048	05/21/2002	Ginn et al.
____A187	6,398,752	06/04/2002	Sweezer et al.
____A188	6,402,765	06/11/2002	Monassevitch et al.
____A189	6,409,739	06/25/2002	Nobles et al.
____A190	6,443,158	09/03/2002	Lafontaine et al.
____A191	6,450,391	09/17/2002	Kayan et al.
____A192	6,461,364	10/08/2002	Ginn et al.
____A193	6,488,692	12/03/2002	Spence et al.
____A194	6,506,210	01/14/2003	Kanner

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 15 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

---

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A195	6,533,762	03/18/2003	Kanner et al.
____A196	6,547,806	04/15/2003	Ding
____A197	6,582,452	06/24/2003	Coleman et al.
____A198	6,602,263	08/05/2003	Swanson et al.
____A199	6,616,686	09/09/2003	Coleman et al.
____A200	6,623,510	09/23/2003	Carley et al.
____A201	6,626,918	09/30/2003	Ginn et al.
____A202	6,632,238	10/14/2003	Ginn et al.
____A203	6,634,537	10/21/2003	Chen
____A204	6,652,538	11/25/2003	Kayan et al.
____A205	6,679,904	01/20/2004	Gleeson et al.
____A206	6,695,867	02/24/2004	Ginn et al.
____A207	6,719,777	04/13/2004	Ginn et al.
____A208	6,726,704	04/27/2004	Loshakove et al.

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 16 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

---

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A209	6,755,842	06/29/2004	Kanner et al.
____A210	6,767,356	07/27/2004	Kanner et al.
____A211	6,780,197	02/21/2002	Carley et al.
____A212	6,926,731	08/09/2005	Coleman et al.
____A213	RE 31,855	03/26/1985	Osborne
____A214	RE 34,866	02/21/1995	Kensey et al.
____A215	2001/0007077	07/05/2001	Ginn et al.
____A216	2001/0047180	11/29/2001	Grudem et al.
____A217	2002/0026208	02/28/2002	Belef
____A218	2002/0026215	02/28/2002	Redmond et al.
____A219	2002/0049472	04/25/2002	Coleman et al.
____A220	2002/0072768	06/13/2002	Ginn
____A221	2002/0077657	06/20/2002	Ginn et al.
____A222	2002/0082641	06/27/2002	Ginn et al.

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 17 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

---

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A223	2002/0107542	08/08/2002	Kanner et al.
____A224	2002/0151921	10/17/2002	Kanner et al.
____A225	2002/0193808	12/19/2002	Belef et al.
____A226	2003/0004543	01/02/2003	Gleeson et al.
____A227	2003/0009180	01/09/2003	Hinchliffe et al.
____A228	2003/0078598	04/24/2003	Ginn et al.
____A229	2003/0093096	05/15/2003	McGuckin et al.
____A230	2003/0097140	05/22/2003	Kanner
____A231	2003/0109890	06/12/2003	Kanner et al.
____A232	2003/0125766	07/03/2003	Ding
____A233	2003/0158577	08/21/2003	Pantages et al.
____A234	2003/0158578	08/21/2003	Pantages et al.
____A235	2004/0010285	01/15/2004	Carley et al.
____A236	2004/0087985	05/06/2004	Loshakove et al.

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 18 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A237	2004/0010285	01/15/2004	Carley et al.
____A238	2004/0039414	02/26/2004	Carley et al.
____A239	2004/0254591	12/16/2004	Kanner et al.
____A240	2004/0267312	12/30/2004	Kanner et al.
____A241	2005/0090859	04/28/2005	Ravlkumar

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
____A242	DE 297 23 736 U 1	04/01/1999	Germany
____A243	DE 197 11 288	01/10/1998	Germany
____A244	EP 0 386 361	09/12/1990	EPO
____A245	EP 0 534 696	03/31/1993	EPO
____A246	EP 0 756 851	02/05/1997	EPO
____A247	EP 0 774 237	05/21/1997	EPO

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 19 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
____A248	EP 0 858 776	08/19/1998	EPO
____A249	EP 0 941 697	09/15/1999	EPO
____A250	FR 2 443 238	07/04/1980	France
____A251	FR 2 722 975	02/02/1996	France
____A252	GB 1 358 466	07/03/1974	Great Britain
____A253	GB 2 075 144	11/11/1981	Great Britain
____A254	WO 96/24291	08/15/1996	PCT
____A255	WO 97/07741	03/06/1997	PCT
____A256	WO 97/20505	06/12/1997	PCT
____A257	WO 98/17179	04/30/1998	PCT
____A258	WO 98/24374	06/11/1998	PCT
____A259	WO 98/25508	06/18/1998	PCT
____A260	WO 99/62408	12/09/1999	PCT
____A261	WO 00/71032	11/30/2000	PCT

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 20 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

---

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
____A262	WO 00/56223	09/28/2000	PCT
____A263	WO 00/56227	09/28/2000	PCT
____A264	WO 00/56228	09/28/2000	PCT
____A265	WO 00/06029	02/10/2000	PCT
____A266	WO 00/07640	02/17/2000	PCT
____A267	WO 01/35832	05/25/2001	PCT
____A268	WO 01/49186	07/12/2001	PCT
____A269	WO 01/91628	12/06/2001	PCT
____A270	WO 02/19924	03/14/2002	PCT
____A271	WO 02/45593	06/13/2002	PCT
____A272	ZA 20010527	01/18/2001	ZA

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 21 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

Other Documents  
(including author, title, pertinent pages, etc.)

Examiner  
Initial\*

\_\_\_\_\_A273

Database WPI; Section PQ, Week 200120; Derwent Publications Ltd., London GB; Class P31, AN 2001-203165; XP002199926 & ZA 200 100 528 A (ANTHONY T), 28 February 2001 (2001-02-28) abstract.

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 22 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

---

### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

w:\16497\1.1.2.1\mjw0000001042v001.doc

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.